

DEPARTMENT: Human Services/BHRS

BY: Jim Rydingsword, Director

PHONE: 966-2000

**RECOMMENDED ACTION AND JUSTIFICATION:**

It is respectfully requested that your Board review and approve the Substance Abuse and Crime Prevention Act (SACPA Proposition 36) Program Plan and Offender Treatment Program (OTP) application for 2007-2008 Fiscal Year.

**BACKGROUND AND HISTORY OF BOARD ACTIONS:**

Please see attachment.

**ALTERNATIVES AND CONSEQUENCES OF NEGATIVE ACTION:**

Please see attachment.

|   |                     |  |
|---|---------------------|--|
| Financial Impact? ( ) Yes (X) No                            | Current FY Cost: \$ | Annual Recurring Cost: \$                    |
| Budgeted In Current FY? ( ) Yes ( ) No ( ) Partially Funded |                     |  |
| Amount in Budget: \$ _____                                  |                     | List Attachments, number pages consecutively |
| Additional Funding Needed: \$ _____                         |                     | Board Memo, Pages 1-2                        |
| Source:   |                     | Exhibit A, Pages 3-13                        |
| Internal Transfer _____                                     |                     | _____  |
| Unanticipated Revenue _____ 4/5's vote                      |                     | _____  |
| Transfer Between Funds _____ 4/5's vote                     |                     | _____  |
| Contingency _____ 4/5's vote                                |                     | _____  |
| ( ) General ( ) Other                                       |                     | _____  |

**CLERK'S USE ONLY:**

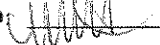
Res. No.: 07-500 Ord. No. \_\_\_\_\_  
 Vote - Ayes: 5 Noes: \_\_\_\_\_  
 Absent: \_\_\_\_\_  
 Approved  
 ( ) Minute Order Attached ( ) No Action Necessary

The foregoing instrument is a correct copy of the original on file in this office.

Date: \_\_\_\_\_  
 Attest: MARGIE WILLIAMS, Clerk of the Board  
 County of Mariposa, State of California  
 By: \_\_\_\_\_  
 Deputy

**COUNTY ADMINISTRATIVE OFFICER:**

Requested Action Recommended  
 No Opinion  
 Comments:  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

CAO: 

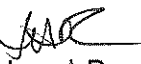


MARIPOSA COUNTY  
HUMAN SERVICES DEPARTMENT

P.O. Box 99 • Mariposa, CA 95338 • (209) 966-2000 • Fax (209) 966-8251

□ Behavioral Health and Recovery Services □ Community Action △ Housing Authority □ Public Guardian/Conservator □ Social Services  
CHERYLE RUTHERFORD-KELLY, MSW, DIRECTOR

November 6, 2007

TO: Members, Board of Supervisors  
Richard Benson, CAO  
FROM: Jim Rydingsword, Director   
RE: Behavioral Health / Alcohol and Drug / Proposition 36 / Substance Abuse and  
Crime Prevention Act Program Plan / Offender Treatment Program Application  
for Fiscal Year 2007-2008

### Recommendation

It is respectfully requested that your Board: (1) review the Substance Abuse & Crime Prevention Act (SACPA Prop 36) Program Plan and Offender Treatment Program (OTP) for the Fiscal Year 2007-2008; and ~~(2) authorize your Chairman to sign the contract.~~

### Background/Current Situation

Proposition 36 was approved by the California voters based on the experience of Arizona state implementing their Proposition 2000. The philosophy behind California Proposition 36 is to decriminalize certain non-violent drug offenses by providing community based non-residential and residential drug treatment programs as an alternative to incarceration.

The SACPA Prop 36 program is in its seventh (7<sup>th</sup>) year of operation. It enjoys the support of the Alcohol and Drug Board and it is coordinated with the Courts, District Attorney, and Probation. Many of the services provided are operated through Heritage House. This program has seen success through counseling sessions, ancillary services and referrals available to Proposition 36 clients and their families.

The attached program plan has been submitted to the State to meet their submission requirements. However, modifications can be made by your Board before your final approval and submission. Your approval of the plan is necessary before the fiscal year 2007-2008 allocation can be released. The Fiscal Year 2007-2008 plan includes an application for additional Offender Treatment Program (OTP) dollars. This additional amount, ten thousand eight hundred thirty five dollars (\$10,835), would allow us to place four (4) more clients into residential treatment, or to extend the residential treatment beyond the initial 28 days for those with more serious addiction, such as with methamphetamines.

The OTP application is the only program plan changes for fiscal year 2007-2008. Approximately ninety (90) Proposition 36 clients (as well as their families) will be served during the fiscal year.




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## Financial

The fiscal year 2007-2008 SACPA preliminary allocation is \$212,340 and the preliminary SATTA drug testing allocation is \$14,950. These allocations have been anticipated in the budget submitted to the CAO's office in April 2007. There are no general fund dollars involved.

Exhibit A

SACPA Plan Description Mariposa County

Plan Questions

1. Is the county board of supervisors approval, or are the written board of supervisors delegation (by a written resolution, delegation of approval authority or order) and the county lead agency plan approval attached? [ref: §9515 (b)(3)]

- Yes  
 No (expected date of approval): October 30, 2007

2. Check the county agencies and other entities involved in developing the county plan. (Check all that apply) [ref. §9515(b)(2)]. If one or more of the required agencies or entities did not participate, please explain in Part III, Plan Description, Item 1.

**REQUIRED**

- County Alcohol and Other Drug Agency  
 Court  
 Parole Authority  
 Probation Department

**OPTIONAL** (Check all that apply)

- County Executive Office  
 County Mental Health  
 County Office of Education  
 County Public Health  
 County Social Services  
 District Attorney  
 Police Department  
 Public Defender  
 Sheriff  
 Other (specify) Alcohol and Drug Advisory Board

3. Check the impacted community parties that collaborated in the development of this county plan. [ref: §9515(b)(2)]

**REQUIRED**

- Providers of drug treatment services in the community  
 Representatives of drug treatment associations in the community

**OPTIONAL** (Check all that apply)

- Clients/Client groups  
 Colleges and Universities  
 Local Business Representatives  
 Non-Profit Organizations  
 Youth Organizations  
 Other (specify)

4. How was community input collected? [ref: §9515(b)(2)]

- Community meetings
- County advisory groups
- Focus groups
- Other method(s) (explain briefly) **Other multi-agency collaborative teams**

5. If there are federally recognized American Indian tribe(s) located within your county, did they provide input to the development of this county plan? [ref: §9515(b)(2)]

- Yes – (required if such tribes are located in your county)
- No federally recognized American Indian tribe(s) in the county

6. During this fiscal year, how often did entities and impacted community parties meet to develop this county plan? [ref: §9515(b)(2)(A)]

- 1-4 times
- 5 or more times

7. Specify how often entities and impacted community parties will meet during the implementation of this plan to continue ongoing coordination of services and activities. [ref: §9515(b)(2)(A) and 9520(a)]

- Every three months (minimum required)
- 5-8 times
- 9 or more times

8. What services will be available to SACPA clients under this county plan? [ref: §9515(b)(2)(B); and Penal Code §1210.1(c) and §3063.1(c)]

**REQUIRED**

- Drug treatment
- Family counseling
- Literacy training
- Vocational training

**OPTIONAL**

- Mental health
- Other (specify) **Recovery community support facility**

9. Identify the entity(s) responsible for determining a SACPA offender's level of need for, and placement in, drug treatment. [ref: §9515(b)(2)(C)]

- County alcohol and other drug agency
- Drug treatment provider(s)
- Probation department
- Other (specify)

10. Identify the entity(s) responsible for assessing a SACPA offender's level of need for and placement in additional services supplemental to treatment. [ref: §9515(b)(2)(C)]

- County alcohol and other drug agency
- Drug treatment provider(s)
- Probation department
- Other (specify)

11. What assessment tools will be used in your county for SACPA clients? [ref: §9515(b)(2)(C)]

- ASI (Addiction Severity Index)
- ASAM PPC (American Society of Addiction Medicine Patient Placement Criteria)
- Other (specify) **Extensive clinical assessment**

12. Will drug testing be required for SACPA clients in your county? [ref: §9515(b)(3)(A)]

- Yes
- No

13. Is drug testing, when paid for with federal Substance Abuse Prevention and Treatment (SAPT) block grant funds, used for non-punitive treatment purposes?

- Yes
- No

14. Are drug testing results (positive and/or negative) used in conjunction with other program performance indicators (e.g., attendance, participation, employment, domestic relations, etc.) to make adjustments in the client's treatment program?

- Yes
- No

15. Other than the Substance Abuse Treatment and Testing Accountability (SATTA) Program, what sources of funds, if any, will be used to pay for drug testing? [ref: §9530(i)]

*(Check all that apply. Do not include federal SAPT block grant funds provided under the state SATTA program.)*

- Client fees
- Additional funds budgeted by the county
- Other (specify)

16. Has there been a change in the Lead Agency designation? *(Check one)*

- Yes
- No

## **Narrative Questions**

- Collaborative Process.** Describe the collaborative process used during the current fiscal year to plan services. Describe how county entities, community parties, and others participated in the development of this plan. If one or more of the required agencies or entities did not participate in the collaborative process (Part II, Plan Questions, Item 2 and 3), explain why. [ref: §9515(b)(2)(A)]

The Mariposa County Implementation Team, consisting of the presiding judge of superior court, the Behavioral Health Director and Deputy Director, the alcohol/drug program supervisor, the chief probation officer, the public defender, the assistant district attorney and the administrative specialist, continues to direct the SACPA program. The implementation team and additional staff from collaborative agencies provide the input for the on-going development and implementation of the services. It is then presented to the Mariposa County Alcohol and Drug Advisory Board for additions and or changes.

- Coordination of Services and Client Flow.** Describe how involved entities coordinate multiple services as clients progress from referral through program completion. (referral, assessment, placement, court monitoring, probation supervision, case management, etc.). Describe specific roles and activities of court, probation, parole, county alcohol and drug treatment, and treatment providers. [ref: §9515(b)(2)(A)]

Probation and AOD staff co-locate one day a week, allowing for a quick risk assessment and treatment assessment after the client is determined Prop 36 eligible by the court. The probation officer completes a risk assessment, then the treatment counselor administers a thorough assessment. Based on this assessment a treatment/recovery plan is developed and a SACPA phase level recommendation determined. If the client is determined to need detoxification or residential treatment, the treatment counselor contacts the appropriate contract provider and provides the client with an appointment with that provider within 30 days. This method ensures that the probation assessment is within 7 days and the client has had an intake into treatment well within the 30-day requirement period. The client's need for ancillary service needs are assessed at the participant's individual intake interview.

Parole referrals are considered high risk, with limited tolerance to violations. Parolees are referred by the local parole officer. Referrals will be made by way of telephone call and fax from the parole officer. The treatment provider submits a treatment/recovery plan, including a drug testing plan, to the parole officer within 30 days of referral. Urine testing is arranged by contract with County Probation. The probation officer via the web-based service will access test results within three working days of test submission. Results of tests will be forwarded to the Parole Officer by confidential fax transmittal and, in the case of a positive test, an immediate phone call will be made, followed by a meeting to re-assess the parolee for additional treatment services.

In the case of both probationers and parolees, the treatment provider monitors progress in treatment and provides a minimum of quarterly progress reports. Progress, or lack of same, is informally noted in the case of probationers, in the weekly meeting of provider staff and probation.

The treatment provider immediately notifies, by phone and confidential fax transmittal, to County Probation or the Parole Officer, any violation behavior and, upon completion of treatment.

- Drug Treatment and Additional Services Assessment Process.** Describe the assessment process and tools that will be used to determine clients' level of need for both drug treatment and additional services. [ref: §9515(b)(3)(B)]



The philosophy of the county implementation team and our alcohol/drug advisory board is that addiction is a family disease, best treated by including all members of the family whenever possible. Therefore, in addition to our primary goal of abstinence and compliance for the referred client, we are dedicated to providing a full range of services to the family. We also believe alcohol and other drug abstinence is more likely when clients have competent educational and or vocational skills.

Treatment goals for SACPA clients are:

- 1) drug abstinence and compliance with program
- 2) development of a strong drug-free support system
- 3) family involvement in recovery
- 4) attaining or improving employment
- 5) improved finances through educational and or vocational skills improvements.
- 6) Ancillary services needs assessed during intake assessment

4. **Drug Treatment, Aftercare and Additional Services.** Describe the types of treatment, aftercare, and additional services the county provides to clients after assessment. Describe the intensity and length of treatment and other services, and client flow among services. If services (e.g., residential, narcotic replacement therapy) are unavailable in the county, identify how services will be provided to clients whose assessments indicate the services are needed. [ref: §9515(b)(3)(B)(D)(E)]

Mariposa County Alcohol and Other Drug Services provides all outpatient services in the county. Detoxification and residential treatment will continue to be on a contract basis.

#### Level I

Level I, which is three months, consists primarily of education plus case management. It will include an intake, group education for 12 weeks, a minimum of one individual session per month, drug testing with a minimum of three tests weekly and ancillary services determined by the initial assessment. Discharge/data collection will be done at three months.

#### Level II

Level II, which is six months, consists primarily of education, case management and treatment services. It includes an intake, weekly group treatment, individual sessions two times per month, drug testing at a minimum of three times weekly, ancillary services, interim re-assessment/data collection at three months and discharge/data collection at six months.

#### Level III

Level III, which is twelve months, consists of individualized treatment services which may include more intensive services such as detoxification of 3 to 10 days, and or residential treatment up to 30 days. Upon completion of the detoxification and or residential program the client will begin or resume outpatient treatment which includes drug testing at a minimum of three times weekly and individualized treatment consisting of individual sessions and group sessions.

All outpatient services will be provided by County Alcohol and Drug Services with contracts for drug testing, certain ancillary services, detoxification and residential treatment services.

Aftercare will be provided for up to six months after discharge and will consist of biweekly group sessions (peer support), a minimum of one individual session per month, with discharge/data collection at three-month intervals. The counseling staff and the client will determine the need for continued drug testing, as they develop long term treatment goals.

Mariposa County has an additional site staffed and utilized to provide additional support, referral and access to literacy training, vocational counseling and employment services. In addition, it provides a drug-free environment for networking. It is available for client access forty hours per week.

Narcotic Replacement Therapy (NRT) is not provided in this county. If treatment assessment indicates NRT need, a service provision contract will be arranged with a local physician.

5. **Quality of Treatment Services and Additional Services.** Describe the criteria and tools the county will use to monitor services and assure clients receive the type and level of treatment services and additional services as determined by their assessment. [ref: §9515(b)(3)(C)]

An individual face-to-face interview is utilized to determine the clients' level of need for drug treatment. Mariposa County has an additional site staffed and utilized to provide additional support, referral and access to literacy training, vocational counseling and employment services. Family counseling is provided by licensed clinicians, there is a children's treatment group facilitated by a mental health clinician, a women's support group, and a men's support group.

6. **Plan Changes from FY 2006-07 County Plan.** Identify any changes from the FY 2006-07 county plan to the FY 2007-08 county plan in the following areas.

- a) **Drug Treatment Services.** Describe changes in *drug treatment services* from the previous plan year. (Include reasons for increases or decreases in costs, staffing, length of treatment, treatment options, treatment capacity, etc.)
- i) None
- b) **Criminal Justice Activities:** Describe changes in *criminal justice activities* from the previous plan year. (Include reasons for increases or decreases in costs, staffing, level of probation supervision, court oversight activities, services provided, etc.)
- i) None
- c) **Client Referral Projections:** Describe and explain the reasons for increases and decreases in the number of offenders referred to SACPA by both court/probation and parole.
- i) None
- ii) **Client Treatment Projections:** Describe and explain the reasons for increases and decreases in the number of new clients admitted to treatment from the previous plan year.
- iii) None

7. **Program Goals and Tracking.** Describe the county's SACPA program goals and individual treatment goals for SACPA clients and how the county measures and tracks these goals. Include criteria the county considers, other than drug testing, when evaluating a client's progress toward meeting treatment goals. How does the county plan to track these goals?

See treatment levels description under #4 above. These goals are measured and tracked using the drug MediCAL treatment plan format including physician determined medical necessity for treatment, approval of treatment goals, action plan and goal accomplishment durations. Progress toward treatment goals are measured behaviorally when possible and specific to the goals

California Department of Alcoholism & Drug Programs  
SACPA Entity Report  
County of: Mariposa

Fiscal Year: 2007/2008 Version 2

Status: Draft Created: 09/25/2007 Last Revised: 10/02/2007

| Activity Type       | Direct Services  | Admin Activities | Total Planned Expenditures | % of ADP Allocation<br>(\$212,340 + \$10,414)<br><u>\$222,754</u> | % of Total Planned Expenditures<br><u>\$222,754</u> |
|---------------------|------------------|------------------|----------------------------|---|---|
| Drug Treatment      | \$151,603        | \$37,632         | \$189,235                  | 85.0%   | 85.0%   |
| Mariposa County     | 151,603          | 37,632           | 189,235                    | 85.0%   | 85.0%   |
| Law Enforcement     | \$7,950          | \$2,069          | \$10,019                   | 4.5%  | 4.5%  |
| Mariposa County     | 7,950            | 2,069            | 10,019                     | 4.5%  | 4.5%  |
| Minimal Justice     | \$23,500         | \$0              | \$23,500                   | 10.5%   | 10.5%   |
| Probation           | 23,500           | 0                | 23,500                     | 10.5%   | 10.5%   |
| <b>Grand Total:</b> | <b>\$183,053</b> | <b>\$39,701</b>  | <b>\$222,754</b>           | <b>100.0%</b>   |   |

themselves. For instance, is the client continuing in recommended ancillary services, are they developing a drug-free support network, are they medication compliant? The county tracks these changes directly with the above mentioned processes as the county is the sole service provider.

## PART II: OTP APPLICATION MARIPOSA COUNTY

Counties applying for OTP funds must establish a separate funding expenditure code for OTP costs and cannot place the funds in the Substance Abuse Treatment Trust Fund (SATTF).  
Counties must track FY 2006-07 funds separately from FY 2007-08 funds.

1. Will the county apply for OTP funds?  Yes  No

*If yes, continue completion of Part II to be considered for receiving OTP funds. REQUIRED ELEMENTS MUST BE MET TO QUALIFY FOR OTP FUNDS AND ESTABLISH COUNTY ELIGIBILITY. If you are unsure if the county meets eligibility requirements, contact your county liaison.*

*If no, skip the remainder of Part II and complete Exhibit B: OTP Decline of Funds in addition to Part III, Board of Supervisors Approval for the SACPA funds you are requesting.*

**See plan/application guide for assistance in completing this section.**

### Goals

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1.  Enhance treatment services for offenders assessed to need them, including residential treatment and narcotic replacement therapy.

*Enhanced treatment services will include the addition of four 28-day residential placements. This will assist clients needing placement, but who, up to this time, we have been unable to help due to budget constraints. Upon completion of the 28-day treatment, clinical staff will collaborate with the residential facility staff to set up a discharge plan which will include participation and documentation of outpatient treatment (individual and group).*

2.  Increase the proportion of sentenced offenders who enter, remain in, and complete treatment, through activities and approaches such as co-location of services, enhanced supervision of offenders, and enhanced services determined necessary through the use of existing drug test results.

3.  Reduce delays in the availability of appropriate treatment services.

*Describe existing delays, including details of how the delay is measured, and the specific activity or practice the county will employ to reduce delays. Describe which strategies from the list above will be used to meet this goal.*

4.  Employ a drug court model, including dedicated court calendars with regularly scheduled reviews of treatment progress, and strong collaboration by the courts, probation, and treatment.

*Describe existing court practices, and specific activity or practice the county will employ to achieve a drug court model. Describe which strategies from the list above will be used to meet this goal.*

5.  Develop treatment services that are needed but not available.

*Describe specific treatment services, presently not provided, that the county plans to develop including new services, client population to be served, and purposes of the planned services. Describe which strategies from the list above will be used to meet the goal.*

6.  Other activities, approaches, and services.


*Offering incentives will be the primary strategy to encourage clients to attend, and eventually complete, treatment. Since we are located in a sparsely populated county where transportation is difficult, income levels are low and mass transit is unavailable gas card incentives will encourage clients who are facing these obstacles to attend regularly. Additional incentives will include coffee mugs, tee shirts and certificates of completion that will be used to give clients a sense of accomplishment as they complete various components of the program.*

# FY 2007-2008 OTP Budget

County: Mariposa

| Section I. Treatment Related Costs: Budgeted Expenditures       |                  |                   |                          |
|---|------------------|-------------------|--------------------------|
| A   | B                | C                 | D                        |
| Enhancing Treatment Services                                    | Budget           | Match Requirement | Projected Clients Served |
| Residential Treatment   | \$ -             | -                 |                          |
| Narcotic Replacement Therapy                                    | \$ -             | -                 |                          |
| Other Treatment Service   | \$ -             | -                 |                          |
| <b>Reduce Treatment Delays</b>                                  | \$ -             | -                 |                          |
| Increase Placement into Treatment                               | \$ 9,600         | 1,067             | 4                        |
| Develop Treatment Services                                      |                  |                   |                          |
| Not Currently Available   | \$ -             | -                 |                          |
| Other Activities, Approaches or                                 |                  |                   |                          |
| Services: Client Incentives                                     | \$ 1,235         | 137               |                          |
| <b>TOTAL SECTION I</b>  | <b>\$ 10,835</b> | <b>\$ 1,204</b>   | <b>4</b>                 |
| Section II. Criminal Justice/Other Costs: Budgeted Expenditures |                  |                   |                          |
|   | Budget           | Match Requirement | Projected Clients Served |
| Enhanced Supervision  | \$ -             | -                 |                          |
| Other   | \$ -             | -                 |                          |
| <b>TOTAL SECTION II</b>   | <b>\$ -</b>      | <b>\$ -</b>       | <b>0</b>                 |
| <b>GRAND TOTAL OF SECTIONS I &amp; II</b>                       | <b>\$ 10,835</b> | <b>\$ 1,204</b>   | <b>4</b>                 |

**Section III. CERTIFICATION** County Alcohol and Other Drug (AOD) Program Administrator's certification that all projected expenditures stated above are consistent with the requirements of the Substance Abuse Offender Treatment Program.

X  SACPA Lead Agency Designee Signature Date: 15-Oct-07

James A. Rydingsword  
Please print name

### **PART III: BOARD OF SUPERVISORS RESOLUTION**

1. The Board of Supervisors resolution approving the above plan and application (if applicable) is not available at this time.

The anticipated completion date is: **November 6, 2007.**